



IB Application

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www.dinamiex.com

Partner Questionnaire Individual IB

A. Individual

1. General information

First Name

Last Name

Email

Phone:

Address

Nationality

Client Signature & Date

Account Manager Signature & Date

Dates resident at this address:

If address has changed in the last three years, please provide addresses for the previous three years.

Employment status:

Approximate annual income:

Employment history for past 5 years:

DINAMI EXCHANGE

Client Signature & Date

Account Manager Signature & Date

2. Trading experience

Please give a summary of your experience dealing in and trading margined FX and CFD instruments.

Do you have a relevant education or professional qualification that you consider would assist your understanding of CFDs/Forex/spread betting or leveraged products?

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If yes, please list:

2. Fitness and propriety

1. Have you ever been convicted of any offence (whether spent or not and whether or not) involving fraud, theft, false accounting, offences against the administration of public justice, serious tax offences or other dishonesty or relating to companies, building societies, industrial and provident societies, credit unions, friendly societies, insurance, banking or other financial services, insolvency, consumer credit or consumer protection, money laundering, market manipulations or insider dealing?

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4. Are you the subject of any current criminal proceedings or have you ever been given a caution in relation to any criminal offence?

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5. Do you have any convictions for any offences (whether spent or not) other than those above (excluding traffic offences that did not result in a ban from driving or did not involve driving without insurance)?

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Client Signature & Date

Account Manager Signature & Date

6. Have you ever had a judgement made against you regarding debts or do you have any judgment debts made under a court order still outstanding, whether in full or in part?

If yes, please provide details

7. Are you, or have you ever been, the subject of any bankruptcy proceedings, or proceedings for the sequestration of your estate or have you ever entered or is in the process of entering into an agreement in favour of your creditors?

8. Do you have any outstanding financial obligations arising from regulated activities, which you have carried on in the past or have you ever been found guilty of carrying on any unauthorised regulated activities or been investigated for the possible carrying on of unauthorised regulated activities?

9. Are you, or have you ever been, the subject of an investigation into allegations of misconduct or malpractice in connection with any business activity?

10. Have you ever been refused entry to, or been dismissed or requested to resign from, any profession, vocation, office or employment, or from any fiduciary office or position of trust whether or not remunerated, or, restricted in, or had suspended, the right to carry on any trade, business or profession for which specific licence, authorisation, registration, membership or other permission is required?

11. Have you ever been disqualified by a court from acting as a director of a company or from acting in a management capacity or conducting the affairs of any company, partnership or unincorporated association or been the subject of a disqualification direction under section 59 of the Financial Services Act 1986 or a prohibition order, under section 56 of the Financial Services and Markets Act 2000, or received a warning notice that such a direction or order be made?

12. In relation to activities regulated by the FSA or any other regulatory body, have you; or any company, partnership or unincorporated association of which you are or have been a controller, director, senior manager, partner or company secretary, during your association with that entity and for a period of three years after you ceased to be associated with it, ever been refused, had revoked, restricted or terminated, any licence, authorisation, registration, notification, membership or other permission granted by any such body; or been criticised, censured, disciplined, suspended, expelled, fined, or been the subject of any other disciplinary or intervention action by any such body, or resigned whilst under investigation by, or been required to resign from, any such body; or decided, after making an application for any licence, authorisation, registration, notification, membership or other permission granted by any such body, not to proceed with it; or been the subject of any civil action which has resulted in a finding against the candidate or it by a court?

Client Signature & Date

Account Manager Signature & Date

13. Has any company, partnership, or unincorporated association of which you are or have been a controller, director, senior manager, partner, or company secretary, in the United Kingdom or elsewhere, at any time during your involvement or within one year of such an involvement been put into liquidation, wound up, ceased trading, had a receiver or administrator appointed or entered into any voluntary arrangement with its creditors; or been adjudged by a court liable for any fraud, misfeasance, wrongful trading or other misconduct; or been investigated or been involved in an investigation by an inspector appointed under companies or any other legislation, or required to produce documents to the Secretary of State, or any other authority, under any such legislation; or been convicted of any criminal offence, censured, disciplined or publicly criticised, by any inquiry, by the Takeover Panel or any governmental or statutory authority or any other regulatory body (other than as already indicated under (b) above)?

I confirm that the above information is true and accurate.

Client Signature & Date

Account Manager Signature & Date